TỔNG CÔNG TY KHOÁNG SẢN - TKV
VINACOMIN - MINERALS HOLDING COMPANY
**CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG**
**CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY**

Số: 2007 /CISCO-CBTT
*No.: 2007 /CISCO-CBTT*

V/v công bố thông tin tài liệu ĐHĐCĐ bất thường năm 2026/ *Disclosure of documents for the 2026 Extraordinary General Meeting of Shareholders*

**CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM**
**THE SOCIALIST REPUBLIC OF VIETNAM**
**Độc lập - Tự do - Hạnh phúc**
**Independence - Freedom - Happiness**

*Cao Bằng, ngày 17 tháng 9 năm 2026*
*Cao Bang, September 17 , 2026*

## CÔNG BỐ THÔNG TIN
## *INFORMATION DISCLOSURE*

Kính gửi/*To:*

- Ủy ban Chứng khoán Nhà Nước;
- *State Securities Committee;*
- Sở Giao dịch Chứng khoán Hà Nội
- *Ha Noi Stock Exchange.*

1. Tên tổ chức/ *Name of organisation*: Công ty cổ phần Gang thép Cao Bằng/ *Cao Bang Cast Iron and Steel Joint Stock Company*

- Mã chứng khoán/ *Stock Code*: CBI
- Địa chỉ/Address: Tổ Chu Trinh 1, phường Tân Giang, tỉnh Cao Bằng/ *Chu Trinh 1 residential area, Tan Giang ward, Cao Bang Province.*
- Số điện thoại/ *Telephone*: 0206 3953 369
- E-mail: gangthepcb@gmail.com

2. Nội dung thông tin công bố/ *Contents of information Disclosure:* Tài liệu Đại hội đồng cổ đông bất thường năm 2026/ *Disclosure of documents for the 2026 Extraordinary General Meeting of Shareholders.*

3. Đại chỉ Website đăng tải thông tin/ *Websites address*: https://gtcb.com.vn

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the above information is accurate and we are fully responsible before the law regarding the information disclosed.*

***Nơi nhận/Place of receipt:***
- Như kính gửi/*As addressed*;
- Lưu VT/*Save in the archives.*

***Tài liệu đính kèm/Attached documents:***
- *Tài liệu Đại hội đồng cổ đông bất thường năm 2026/ Documents for the 2026 Extraordinary General Meeting of Shareholders.*

**NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT**
**GIÁM ĐỐC**
***LEGAL REPRESENTATIVE***
***DIRECTOR***

M.S.D.N: 48001622 C.T.C.P CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG CISCO

**Trần Văn Chưởng**
***Tran Van Chuong***

| | |
|---|---|
| **CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY** | **THE SOCIALIST REPUBLIC OF VIETNAM** |
| | **Independence - Freedom - Happiness** |
| No: 1999 /TB-CISCO | *Cao Bang, September 17, 2026* |

M.S.D.N.: 4800162247 CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG CISCO

# NOTICE OF INVITATION
## To the 2026 Extraordinary General Meeting of Shareholders
## Cao Bang Cast Iron and Steel Joint Stock Company

To: Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company

Pursuant to the Charter on Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, amended and supplemented for the fourth time, issued under Decision No. 856/QD-GTCB dated July 21, 2021, of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

The Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company respectfully announces and cordially invites all shareholders to attend the 2026 Extraordinary General Meeting of Shareholders of the Company, as follows:

**1. Time:** From 08:00 AM, Thursday, October 08, 2026.

**2. Venue:** Conference Hall, 2nd Floor – Cao Bang Cast Iron and Steel Complex
*(Chu Trinh 1 Group, Tan Giang Ward, Cao Bang Province).*

**3. Attendees:**

- Delegates and invited guests attending the meeting.
- Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company listed in the shareholder register as of September 09, 2026, as confirmed by the Vietnam Securities Depository and Clearing Corporation (VSDC), or their legally authorized representatives. Shareholders may attend in person or authorize others to attend the General Meeting of Shareholders. Authorization must be made in writing (using the attached form).
- Members of the Board of Directors and the Supervisory Board of the Company.
- The Company's Management Board and Chief Accountant.

**4. Agenda, program, and meeting materials will be posted on the website http://gtcb.com.vn on September 17, 2026**

**5. Confirmation of Attendance:**

To facilitate the thorough organization of the General Meeting, shareholders are kindly requested to send their confirmation of attendance or authorization (using the attached form) to the Organizing Committee of the General Meeting of

Shareholders via mail or email by **4:00 PM on October 06, 2026**, to the following address:

Office of Cao Bang Cast Iron and Steel Joint Stock Company – Chu Trinh 1 Group, Tan Giang Ward, Cao Bang Province. Phone: 0206 3953 369 / 0206 3859 998. Email: gangthepcb@gmail.com

Shareholders are kindly requested to attend on time. Upon attending the General Meeting, shareholders or their legally authorized representatives must present the following documents:

a) Citizen Identity Card, or passport;

b) Registration/authorization form for attending the 2026 Extraordinary General Meeting of Shareholders (original copy if previously sent via email).

This notice serves as the invitation to the meeting.

Respectfully!

***Recipients:***
- As above;
- Archived: Office, BOD.

**O/B. BOARD OF DIRECTORS**
**CHAIRMAN**
*(Signed)*
**Ly Xuan Tuyen**

**THE SOCIALIST REPUBLIC OF VIETNAM**
**Independence - Freedom - Happiness**

CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG
M.S.D.N: 4800162247
CISCO

# CONFIRMATION OF ATTENDANCE
# 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS
# CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

To: The Organizing Committee of the 2026 Extraordinary General Meeting of Shareholders Cao Bang Cast Iron and Steel Joint Stock Company

Full name of Shareholder/ Shareholder Representative's Name:............................................

ID Card/Citizen ID/Passport/Business Registration No:.......................................................

Issue on: ........./......./.......... at: ..........................................................................................

Address: .......................................................................................................................

Telephone: ....................................................... Email: ......................................................

Confirmation of Attendance at the 2026 Extraordinary General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company on ........./......./2026, with the number of voting shares: ................. shares. Of which:

Number of shares owned: ........................................................... common shares.

Number of shares authorized (if any): ........................................... common shares.

*(Attached is the power of Attorney corresponding to the authorization letter shares quantity)*

Request the Organizing Committee of the 2026 Extraordinary General Meeting of Shareholders is kindly requested to confirm the inclusion in the list of shareholders/authorized representatives attending the meeting.

*Cao Bang, day ..... Month .... year 2026*
**SHAREHOLDER**
*(Singnature and full name)*

***Notes:***

- *If the shareholder is a legal entity, a confirmation letter for attending the General Meeting of Shareholders is required.*
- *Shareholders are kindly requested to send the confirmation letter for attending the General Meeting of Shareholders to the Organizing Committee at the address provided in the Notice before 4:00 PM on October 06, 2026.*

**THE SOCIALIST REPUBLIC OF VIETNAM**
**Independence - Freedom - Happiness**

# POWER OF ATTORNEY
# FOR ATTENDING THE 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

To: The Organizing Committee of the 2026 Extraordinary General Meeting of Shareholders Cao Bang Cast Iron and Steel Joint Stock Company

**1. Authorizing Party:**

Shareholder/Authorized Representative's Name: ........................................................

ID Card/Citizen ID/Passport/Business Registration Number: ......................................

Issue on: ........../......./.......... Issue at: ................................................

Address: ..................................................................................................

Telephone: ................................................ Email: ............................................

Currently Holds: ................. shares of Cao Bang Cast Iron and Steel Joint Stock Company.

*(In words: .........................................................................)*

**2. Authorized Party:**

Mr./Ms.: .......................................................

Address: ..................................................................................................

Telephone: .......................................................

Number of authorized shares: ............................................ shares

*(In words: .........................................................................)*

**3. Scope of Authorization:**

To represent the authorizing party in attending the 2026 Extraordinary General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company.

The authorized party is entitled to exercise all rights and obligations at the 2026 Extraordinary General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company corresponding to the number of authorized shares, in accordance with the Regulations on Organizing the 2026 Extraordinary General Meeting of Shareholders, the Charter of Cao Bang Cast Iron and Steel Joint Stock Company, and applicable legal regulations.

**4. Duration of Authorization:**

This authorization letter is only valid during the period of the 2026 Extraordinary General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company.

**5. Responsibilities of the Parties:**

The authorized party is not permitted to further delegate the tasks outlined in this authorization letter to a third party.

The parties commit to being responsible for this authorization and to strictly comply with the current legal regulations.

*Cao Bang, Date..... Month .... year 2026*

| AUTHORIZED PARTY | AUTHORIZING PARTY |
| --- | --- |
| *(Signature and full name)* | *(Signature and full name - Affix seal if an organization)* |

# LIST OF AUTHORIZING PARTY ATTACHED TO THE POWER OF ATTORNEY

| No | Full name | ID/Card/Passport | Isued Date & Place | Number of Share Owned | Number of Share Authorized | Signature |
|---|---|---|---|---|---|---|
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| | Total | | | | | |

CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG

No: 2000 /GM-CISCO

SOCIALIST REPUBLIC OF VIETNAM
**Independence - Freedom - Happiness**

*Cao Bang, September 17,2026*

# INVITATION TO ATTEND THE 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS OFCAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

To: Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company

The Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company cordially invites our esteemed shareholders to attend the 2026 Extraordinary General Meeting of Shareholders.

**1. Time:** From 08:00 AM, Thursday, October 08, 2026.

**2. Venue:** Conference Hall, 2nd Floor – Cao Bang Cast Iron and Steel Joint Stock Company.

*(Chu Trinh 1 Residential Group, Tan Giang Ward, Cao Bang Province)*

**3. Agenda:** As per the attached program.

**4. Attendees:**

- Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company listed in the shareholder register as of September 09, 2026, as confirmed by the Vietnam Securities Depository and Clearing Corporation (VSDC), or their legally authorized representatives. Shareholders may attend in person or authorize others to attend the General Meeting of Shareholders. Authorization must be made in writing (using the attached form).

- Members of the Board of Directors and the Supervisory Board of the Company.

- The Company's Management Board and Chief Accountant.

**5. Confirmation of Attendance:**

To ensure the successful organization of the General Meeting, shareholders are kindly requested to confirm their attendance or authorization (using the attached form) and send it to the Company via email or mail no later than 4:00 PM on October 06, 2026, to the following address:


Cao Bang Cast Iron and Steel Joint Stock Company

Chu Trinh 1 Group, Tan Giang Ward, Cao Bang Province.

Phone: 0206.3953369

Direct Contact: Ms. Le Thi Linh Da – Position: Company Secretary – Phone: 0989 789 503

Email: gangthepcb@gmail.com

**6. Meeting Materials:**

Materials to be used at the General Meeting will be posted on the Company's website: https://gtcb.com.vn/. Shareholders are kindly requested to review these materials in advance and bring them to the meeting.

**7. Documents to Present at the Meeting:**

Shareholders are requested to arrive on time. Upon attending the General Meeting, shareholders or their legally authorized representatives must present the following documents:

a) Invitation letter;

b) Citizen Identity Card, passport, or Business Registration Certificate;

c) Authorization letter (if attending as an authorized representative).

This notice serves as the invitation.

**Notes for Shareholders:**

Authorization can only be made once; the authorized representative cannot further delegate to a third party.

All expenses for accommodation, travel, and other costs shall be borne by the shareholders.

For any details related to the General Meeting, shareholders are kindly requested to contact:

Cao Bang Cast Iron and Steel Joint Stock Company

Chu Trinh 1 Group, Tan Giang Ward, Cao Bang Province

Phone: 0206 395 3369

Respectfully!

***Recipients:***
- As above;
- Archieved: Office, BOD.

**O/B. BOARD OF DIRECTORS**
**CHAIRMAN**

*(Signed)*

**Ly Xuan Tuyen**

**CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG**
**CAO BANG CAST IRON & STEEL JCC (CISCO)**

# PROGRAM
# FOR THE 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

**Time:** From 08:00 AM, Thursday, October 08, 2026

**Location:** 2nd Floor Meeting Hall - Cao Bang Iron and Steel Complex *(Chu Trinh 1 Group, Tan Giang Ward, Cao Bang province).*

| Time | No. | Content | Executor |
|---|---|---|---|
| 08h00-08h20 | 1 | Reception of Delegates and shareholders | Organizing Committee |
| | 2 | Report on checking the eligibility of shareholders attending the Meeting | |
| 08h20-08h40 | 3 | Flag salute, statement of reasons for convening the Meeting, and introduction of delegates | Organizing Committee |
| | 4 | Introduce and invite the Chairman of the BOD to act as the Chairperson | |
| | 5 | Approve the Meeting Regulations | Meeting Chairperson |
| | 6 | - Approve the Meeting Secretariat<br>- Approve the Vote Counting Committee | |
| | 7 | Approve the Meeting Program | |
| 08h40-11h25 | 8 | **Presenting the agenda items at the Meeting:**<br>1) Submission on the approval of the Report on production and business results for the 2022 - 2027 period and the Production and Business Plan for the 2026 - 2030 period of Cao Bang Cast Iron and Steel Joint Stock Company.<br>2) Submission on the approval of the adjusted 2026 Construction Investment Plan of Cao Bang Cast Iron and Steel Joint Stock Company.<br>3) Approval of the implementation results and termination of the Production and mining plan for the North area of Na Rua iron mine under Article 9 of Resolution No. 586/NQ-GTCB-ĐHĐCĐ dated April 27, 2023.<br>4) Submission on the approval of the share offering plan to increase the Charter Capital of Cao Bang Cast Iron and Steel Joint Stock Company.<br>5) Submission on the approval of standardizing and supplementing the Company's business lines.<br>6) Submission on amending and supplementing the Company's Charter; Internal Regulations on Corporate Governance; Operating Regulations of the Company's Board of Directors.<br>7) Dismissal and supplementary election of members of the Board of Directors for the remainder of the 2022 - 2027 term. | |
| | 9 | Discussion and voting on the contents submitted to the GMS | |

| Time | No. | Content | Executor |
|---|---|---|---|
| | 10 | Report on the appointment of the Director of Cao Bang Cast Iron and Steel JSC | |
| | 11 | Announcement of the vote-counting minutes for matters submitted to the Meeting for approval and the election minutes for the supplementary election of BOD members for the 2022-2027 term. | Vote Counting Committee |
| | 12 | **Meeting break** | |
| | 13 | Approve the Minutes and Resolution of the 2026 Extraordinary General Meeting of Shareholders | Meeting Secretariat |
| 11h25-11h30 | 14 | Flag salute, Closing of the meeting | Organizing Committee, Chairperson |

CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

SOCIALIST REPUBLIC OF VIETNAM
**Independence – Freedom – Happiness**

*Cao Bang, September 17, 2026*

# REGULATION
# ON THE ORGANIZATION OF THE 2026 EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS OF CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

- Based on the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020;

- Based on the Law on Securities No. 54/2019/QH14 dated November 26, 2019;

- Based on the Charter on the Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, 4th amendment and supplement, promulgated attached to Decision No.: 856/QĐ-GTCB dated July 21, 2021 by the Board of Directors of Cao Bang Cast Iron and Steel JSC.

## Chapter I
## GENERAL PROVISIONS

### Article 1. Scope of application

1. This Regulation applies to the organization and operation of the 2026 Extraordinary General Meeting of Shareholders (hereinafter referred to as the General Meeting) of Cao Bang Cast Iron and Steel Joint Stock Company.

2. This Regulation specifically stipulates the rights and obligations of the parties participating in the General Meeting, the conditions, procedures for conducting the General Meeting, and voting rules for passing matters falling under the authority of the General Meeting.

3. Shareholders and participating parties are responsible for complying with the contents stipulated in this Regulation.

### Article 2. Objectives

1. Ensure the principles of publicity and transparency.

2. Facilitate the organization and successful conduct of the General Meeting in compliance with applicable laws and the Company's Charter.

## Chapter II
## CONDITIONS FOR ATTENDING THE GENERAL MEETING OF SHAREHOLDERS,
## RIGHTS AND OBLIGATIONS OF SHAREHOLDERS AND ATTENDEES OF THE GENERAL MEETING OF SHAREHOLDERS

### Article 3. Conditions for attending the GMS

- Invited guest delegates.

- Members of the Board of Directors, members of the Supervisory Board, and the Executive Management Board of the Company.

- Shareholders or authorized representatives in writing (according to the Company's form) of one or more shareholders whose names are on the Company's shareholder list at the record date of September 09, 2026, confirmed by the Vietnam Securities Depository and Clearing Corporation.

### Article 4. Rights of shareholders and authorized representatives when attending the GMS

Shareholders may attend the General Meeting of Shareholders in one of the following forms:

1. Directly attending the General Meeting of Shareholders.

2. Authorizing another person to attend the GMS. If a shareholder is an organization without an authorized representative, it may authorize another person to attend the GMS; the authorized person does not necessarily have to be a shareholder.

If a shareholder is an organization that has the right to appoint one or more authorized representatives to exercise its shareholder rights in accordance with the law; in case more than one authorized representative is appointed, the specific number of shares and votes of each representative must be determined.

3. To be publicly informed by the Organizing Committee of the GMS agenda contents.

4. To speak, discuss, and vote on all contents in the GMS agenda falling under the authority of the GMS in accordance with the Law on Enterprises and the Company's Charter.

5. At the GMS, each shareholder or authorized representative, upon attending the GMS, will receive a Voting Card (which records the registration number, full name of the shareholder, full name of the authorized representative, and the number of voting shares) of that shareholder after registering to attend the GMS with the Shareholder Eligibility Checking Committee.

6. The voting value of the Voting Card corresponds to the proportion of voting shares owned or represented by that person according to the GMS attendance registration out of the total voting shares of the delegates present at the GMS.

7. Shareholders or authorized attendees who arrive after the meeting has opened may register immediately, and then have the right to participate and vote

immediately at the GMS. The Chairperson must not stop the meeting for latecomers to register; in this case, the validity of the votes previously conducted will not be affected.

**Article 5. Obligations of shareholders or authorized representatives when attending the GMS**

1. Shareholders or authorized representatives attending the GMS must bring the following documents:

a) Valid Citizen Identity Card or Passport.

b) Power of attorney according to the form attached to the Notice of Invitation to the GMS. In case of authorization to attend the GMS: If the shareholder is an individual, the power of attorney must be signed by that shareholder; if the shareholder is a legal entity/organization, the power of attorney must be stamped and signed by the legal representative of that legal entity/organization.

c) Shareholders are only officially allowed to attend and vote at the GMS after completing registration procedures and proving their valid status as a shareholder or authorized representative with the Shareholder Eligibility Checking Committee.

2. In case a shareholder has authorized another person without a written notice canceling the authorization, that shareholder, upon attending the GMS, will not receive a Voting Card at the GMS if their authorized person has already completed the procedures to receive a Voting Card.

3. The person authorized to attend the GMS is not allowed to sub-authorize a third party.

4. During the GMS, shareholders/shareholder representatives must comply with the guidance and control of the Chairperson, respect the working results of the GMS, behave in a civilized and polite manner, and must not cause disruption, disorder, or chaos. Attendees must not bring into the Meeting venue any weapons prohibited by law.

5. Shareholders and authorized representatives attending the GMS must strictly comply with this Regulation; if violated, depending on the specific severity, the Chairperson will consider and take disciplinary action according to the provisions of the Company's Charter.

6. In case a shareholder leaves the meeting before the GMS votes without notifying the Vote Counting Committee, that shareholder is considered to have "no opinion" on all issues to be voted on at the GMS subsequently. In case a shareholder leaves the meeting before the GMS votes but has notified the Vote Counting Committee, that shareholder is considered not to participate in voting at the Meeting.

**Article 6. Chairperson of the Meeting**

1. The Chairman of the Board of Directors is the Chairperson of the General Meeting of Shareholders convened by the Board of Directors. In case the Chairman of the Board of Directors is absent, the remaining members of the Board of Directors shall elect one among them to be the Chairperson of the Meeting.

2. The Chairperson of the Meeting may invite additional members of the Board of Directors and the Executive Management Board of the Company to co-chair the GMS.

3. The Chairperson of the Meeting has the following responsibilities:

a) To preside over and control the GMS;

b) To guide the Meeting in discussing and gathering voting opinions on issues within the Meeting's agenda;

c) To present drafts and conclusions on necessary issues for the Meeting to vote on;

d) To answer or designate members of the Presidium to answer issues requested by the Meeting;

e) To operate based on principles of collectivism, centralism, democracy, conducting the Meeting in a valid and serious manner that reflects the wishes of the majority of attending shareholders and shareholder representatives.

4. The Chairperson of the Meeting has the following rights:

a) To require all attendees to undergo security checks or other security measures;

b) To request competent authorities to maintain order at the meeting, and expel those who do not comply with the Chairperson's directive authority, intentionally disrupt order, hinder the progress of the meeting, or fail to comply with security check requirements from the Meeting;

c) The Chairperson of the Meeting has the right to postpone a General Meeting of Shareholders that has a sufficient number of registered attendees according to regulations to another time as prescribed in Clause 8, Article 146 of the Law on Enterprises and Clause 7, Article 20 of the Company's Charter.

**Article 7. Duties and powers of the Meeting Secretariat**

1. The Meeting Secretariat consists of 02 members nominated by the Chairperson to assist the GMS and approved by the Meeting's vote.

2. The Meeting Secretariat has the duties:

a) To record fully and honestly the contents of the Meeting.

b) To draft conclusions and notices of the Chairperson to be sent to shareholders upon request. To present before the GMS the meeting minutes and draft Resolutions of the Meeting.

c) To receive speech registration slips and complaint opinions (if any) from shareholders.

**Article 8. Duties and powers of the Vote Counting Committee**

1. The Vote Counting Committee has a maximum of no more than 11 (eleven) members, including 01 (one) Head of the committee and vote counting members approved by the General Meeting of Shareholders' vote upon the proposal of the Chairperson.

2. Duties and powers of the Vote Counting Committee:

a) To guide shareholders attending the GMS on how to use voting cards. To inspect and count voting cards by category: approve, disapprove, no opinion immediately after the GMS votes.

b) To record the voting results of shareholders and shareholder representatives on issues submitted for approval at the GMS.

c) To synthesize and report to the Chairperson the voting results on issues of the GMS.

d) To prepare the Vote Counting Minutes and announce the vote counting results before the Meeting.

e) To hand over the Vote Counting Minutes to the Meeting Secretariat.

f) To perform other assigned tasks.

**Article 9. Duties and powers of the Shareholder Eligibility Checking Committee**

1. The Shareholder Eligibility Checking Committee has a maximum of no more than 3 (three) members, including the Head of the committee and members, to perform the following functions and duties:

a) To check the eligibility of shareholders or authorized representatives of shareholders attending the meeting: Require shareholders attending the GMS and invited guests to present Citizen Identity Cards or Passports, and Power of Attorney (for authorized representatives).

b) To distribute to shareholders or authorized attendees arriving at the meeting: Voting cards and other documents related to the meeting.

c) To report before the GMS on the results of checking the eligibility of shareholders attending the GMS at the following times:

- Before the opening of the GMS;

- Before each vote of the Meeting if there is a change in the number of shareholders registered to attend the GMS (when shareholders arriving late register to attend the Meeting).

d) To perform other tasks assigned by the GMS Organizing Committee or the Chairperson of the Meeting.

2. In case a person attending the meeting does not have full eligibility to attend the GMS, the Shareholder Eligibility Checking Committee has the right to refuse that person's right to attend the meeting, and refuse to issue voting cards and other documents related to the meeting.

## Chapter III
## PROGRAM AND WORKING AGENDA OF THE MEETING

### Article 10. Conducting the Meeting

1. The General Meeting of Shareholders shall be conducted when the number of attending shareholders represents at least 65% of the total voting shares according to the finalized shareholder list on September 09, 2026, confirmed by the Vietnam Securities Depository and Clearing Corporation. The Shareholder Eligibility Checking Committee announces the number of attendees, the total number of voting shares represented by shareholders, and the attendance rate so that the Meeting can proceed according to regulations.

2. In case the GMS is not eligible to proceed according to the provisions in Clause 1 above, the convening and subsequent GMS shall be conducted in accordance with the provisions in Article 19 of the Company's Charter and the Law on Enterprises.

### Article 11. Program and working agenda of the Meeting

1. The program and agenda of the meeting must be approved by the Meeting right in the opening session. The program must clearly define the time for the items in the meeting agenda.

2. Sequence of organizing the Meeting (See the attached Meeting program).

3. In case there is a change in the meeting program attached to the meeting invitation notice, the changes must be approved by the GMS.

### Article 12. Discussion and questioning at the Meeting

1. Based on the number of attending shareholders and the permissible duration of the Meeting, the Chairperson of the Meeting may choose the most reasonable method to control the meeting. Shareholders attending the Meeting express their discussion opinions and raise questions by raising their hands to request to speak and getting the consent of the Chairperson, or filling out a question slip and sending it to the Meeting Secretariat to forward to the Chairperson of the Meeting.

2. Shareholders shall speak concisely, focusing exactly on the core contents to be discussed, in accordance with the agenda contents approved by the Meeting. The Chairperson of the Meeting has the right to request shareholders to focus on the core contents to be spoken to save time and ensure discussion quality.

3. The Meeting agrees that the total maximum speaking time of a single shareholder is no more than 5 minutes. If this time limit is exceeded, the Chairperson of the Meeting may require the shareholder to formulate questions and petitions in writing; the Chairperson must acknowledge them, and the Board of Directors, within its authority, will reply in writing to the shareholder within 05 (five) working days from the time of receiving the shareholder's petition.

### Article 13. Voting rules at the Meeting

1. Voting principles: All matters included in the Meeting agenda shall be decided by direct voting at the Meeting.

2. Form of voting: Shareholders vote on each content according to the Meeting program by raising voting cards (the voting contents are specifically stipulated in the meeting program).

a) When voting on a content presented by the Meeting, shareholders vote by raising cards: Shareholders raise their voting cards high.

b) For each content to be voted on, the Chairperson will ask for opinions in the following order: approve; disapprove; no opinion.

3. Cases of invalid Voting Cards: (i) Voting cards not issued by Cao Bang Cast Iron and Steel Joint Stock Company (without the Company's seal) (ii) Voting cards without signatures and not fully recording the full name of the shareholder/authorized person (iii) Voting cards that are erased or added with other contents not requested by the Chairperson of the Meeting.

4. Conditions for approval

4.1. Resolutions on contents submitted to the General Meeting of Shareholders are approved when there are **65%** or more of the total votes of shareholders with voting rights present in person or through authorized representatives present at the General Meeting of Shareholders.

4.2. Resolutions on the following contents are approved when there are 75% or more of the total votes of shareholders with voting rights present in person or through authorized representatives present at the General Meeting of Shareholders:

a) Classes of shares and total number of shares of each class;

b) Changes to business lines and sectors;

c) Changes to the Company's management organizational structure regarding the operating model and the Legal Representative stipulated in Article 137 of the Law on Enterprises;

d) Decisions on investment projects, transactions of selling or purchasing assets of the Company or its branches, or purchase transactions conducted by the Company or its branches with a value of 35% or more of the total asset value of the Company and its branches recorded in the latest audited financial statements;

đ) Decisions on capital contribution, purchase of shares of other enterprises with a total value of capital contribution, share purchase of 35% or more of the total asset value of the Company recorded in the latest audited financial statements;

e) Forms of reorganization, dissolution, or bankruptcy of the Company;

g) Amendments and supplements to the contents of the Company's Charter.

**Article 14. Voting Card**

1. The Voting Card, stamped with the Company's seal, is distributed by the Shareholder Eligibility Checking Committee to shareholders or authorized representatives of shareholders attending the meeting. The Voting Card records the code, full name of the shareholder or authorized representative of the shareholder, and other technical elements to help accurately and conveniently count votes.

2. The voting value of the Voting Card is determined by the number of voting shares owned or represented by that shareholder authorized to attend the GMS.

**Article 15. Meeting minutes, draft resolutions of the Meeting**

1. Meeting minutes: All contents at the Meeting must be recorded by the Secretary into the Meeting minutes. The Chairperson and the Meeting Secretary shall be responsible for the accuracy and truthfulness of the Meeting Minutes.

2. The meeting minutes must be read and approved before closing the Meeting. The GMS minutes must be published on the Company's website within 24 hours.

3. Based on the meeting minutes, the report on shareholder eligibility checking results, the vote counting results report of the Vote Counting Committee, and documents recording the proceedings and results of the GMS must be stored at the Company's head office.

4. The GMS minutes serve as the basis for issuing GMS Resolutions.

**Article 16. Resolution of the GMS meeting**

"Based on the General Meeting's minutes and the vote counting results reported by the Vote Counting Committee, the Meeting Secretary will summarize the results and include them in the draft Resolution. The Resolution of the General Meeting of Shareholders must be disclosed on the Company's website within 24 hours".

## Chapter IV
## IMPLEMENTATION PROVISIONS

**Article 17. Implementation provisions**

1. The Regulation on organizing the 2026 Extraordinary General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company includes 04 Chapters, 17 Articles. This Regulation takes effect immediately after being approved by the General Meeting of Shareholders' vote.

2. The Chairperson is responsible for operating the Meeting according to this Regulation. Shareholders attending the Meeting are responsible for implementing according to this Regulation.

3. The GMS decides on the amendment and supplement to this Regulation./.

**ON BEHALF OF THE GENERAL MEETING OF SHAREHOLDERS**

*(Signed)*

**Ly Xuan Tuyen**

| | |
|---|---|
| **CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY** | **SOCIALIST REPUBLIC OF VIETNAM** <br> **Independence - Freedom - Happiness** |
| No: 2001 /TTr-CISCO | *Cao Bang, September 9 ,2026* |

# PROPOSAL

## Approval of the standardization and addition of business lines of the Company

To: The General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company.

Pursuant to the Law on Enterprises No. 59/2020/QH14 passed by the XIVth National Assembly of the Socialist Republic of Vietnam at its 9th session on June 17, 2020;

Pursuant to Decision No. 36/2025/QD-TTg dated September 29, 2025 of the Prime Minister on the promulgation of Vietnam's System of Economic Sectors;

Pursuant to the Charter on Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, amended and supplemented for the fourth time, issued under Decision No. 856/QD-GTCB dated July 21, 2021, of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

According to current enterprise registration data, the Company has business line code 0710 - Iron ore mining encoded and recorded as its principal business line, while many other activities are described in detail but have not been classified into separate level-four business line codes. After reviewing against Vietnam's System of Economic Sectors promulgated under Decision No. 36/2025/QD-TTg, the Company carries out standardization on the principle of maintaining the scope of currently registered business lines, classifying activities of different natures into appropriate business line codes, and clearly distinguishing technical standardization content from the addition of new business lines.

Standardization does not replace licenses, certificates, eligibility conditions, or specialized business investment conditions that the Company must satisfy for each specific activity. For contents with multiple classification options, the Company has confirmed them based on actual operations and the operational scope of the Company.

In addition to standardizing and updating business lines, to align with the orientation of production and business activities and the need to efficiently exploit the Company's resources, the Board of Directors proposes that the General Meeting of Shareholders consider adding a number of new business lines, specifically:

Addition of business line code 6810 – Real estate business, land use rights belonging to owners, users, or leased: To proactively manage, exploit, and effectively utilize assets and areas under the legal management and use rights of the Company, including a suitable area at the Executive Office Building.

Addition of business line code 0810 – Quarrying of stone, sand, gravel, and clay: During the iron ore mining process, overburden stripping and rock/soil handling generate a large volume of materials. On the basis of practical demand and the orientation toward rational utilization of materials generated during mining, the Company plans to study appropriate recovery, treatment, and processing for use as common construction materials, backfilling materials, or other suitable products to serve internal construction needs and market demand in accordance with the provisions of law.

The Board of Directors respectfully submits to the General Meeting of Shareholders for consideration and approval of the proposal for standardization and addition, as well as the list of business lines in the attached Appendices:

1. Appendix 1: Results of the review and addition of the Company's business lines in accordance with Decision No. 36/2025/QD-TTg dated September 29, 2025 of the Prime Minister;

2. Appendix 2: List of the Company's business lines after adjustment;

3. Assign and authorize the Legal Representative of the Company to organize and perform necessary procedures at competent state agencies to update, change, and supplement the Company's business lines in accordance with the contents approved by the General Meeting of Shareholders and current legal regulations.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval./.

***Recipients:***
*- As above;*
*- Archived: Office.*

**O/B. BOARD OF DIRECTORS**
**CHAIRMAN**

*(Signed)*

**Ly Xuan Tuyen**

**APPENDIX 1**
**RESULTS OF THE REVIEW AND ADDITION OF THE COMPANY'S BUSINESS LINES IN ACCORDANCE WITH DECISION NO. 36/2025/QD-TTG**

*(Attached to Proposal No 2001 /TTr-CISCO September 9 , 2026)*

**I. List of business lines reviewed, standardized, and reclassified in accordance with Decision No. 36/2025/QD-TTg:**

<table>
<tr><th colspan="3">Current business lines of CISCO</th><th colspan="3">Business lines under Decision 36/2025/QD-TTg</th></tr>
<tr><th>No.</th><th>Business line code</th><th>Business line name</th><th>No.</th><th>Business line code</th><th>Business line name</th></tr>
<tr><td rowspan="3">1</td><td rowspan="3">0710 (Principal)</td><td rowspan="3">Iron ore mining (Details: Survey, exploration, mining, beneficiation, processing, and trading of minerals.)</td><td>1.</td><td>0710</td><td>Iron ore mining (Principal)</td></tr>
<tr><td>2.</td><td>0990</td><td>Other mining support service activities</td></tr>
<tr><td>3.</td><td>2410</td><td>Manufacture of iron, steel, and pig iron</td></tr>
<tr><td rowspan="2">2</td><td rowspan="2"></td><td rowspan="2">Mine design consultancy, investment consultancy in mining, beneficiation, and processing of minerals; Consultancy and technology transfer in geology, mining, and metallurgy</td><td>4.</td><td>7110</td><td>Architectural activities and related technical consultancy</td></tr>
<tr><td>5.</td><td>2823</td><td>Manufacture of machinery and equipment for metallurgy</td></tr>
<tr><td rowspan="4">3</td><td rowspan="4"></td><td rowspan="4">Design, manufacture, processing, repair, import and export of goods, materials, and equipment for mineral exploration, mining, processing, and metallurgy</td><td>6.</td><td>2824</td><td>Manufacture of mining and construction machinery</td></tr>
<tr><td>7.</td><td>3312</td><td>Repair and maintenance of machinery and equipment</td></tr>
<tr><td>8.</td><td>4659</td><td>Wholesale of other machinery, equipment, and spare parts</td></tr>
<tr><td>9.</td><td>7499</td><td>Other professional, scientific, and technical activities not elsewhere classified</td></tr>
<tr><td rowspan="2">4</td><td rowspan="2"></td><td rowspan="2">Production, business, import and export of iron, steel, and construction materials</td><td>10.</td><td>4672</td><td>Wholesale of metals and metal ores (Excluding Wholesale of gold, silver, and other precious metals)</td></tr>
<tr><td>11.</td><td>4673</td><td>Wholesale of other construction materials and installation equipment (Wholesale of building bricks, tiles, stone, sand, gravel)</td></tr>
<tr><td rowspan="2">5</td><td rowspan="2"></td><td rowspan="2">Transportation and freight forwarding services</td><td>12.</td><td>4933</td><td>Freight transport by road</td></tr>
<tr><td>13.</td><td>5229</td><td>Other transportation support activities</td></tr>
</table>

| Current business lines of CISCO | | | Business lines under Decision 36/2025/QD-TTg | | |
|---|---|---|---|---|---|
| No. | Business line code | Business line name | No. | Business line code | Business line name |
| | | | 14. | 5231 | Freight transport agency activities |

**II. List of additional business lines:**

| Business lines under Decision 36/2025/QD-TTg | | | |
|---|---|---|---|
| No. | Business line code | Business line name | Notes |
| 1 | 6810 | Real estate business, land use rights belonging to owners, users, or leased | The addition of this business line aims to create a basis for the Company to proactively implement asset exploitation and leasing activities in accordance with legal regulations, contributing to improving asset efficiency and generating additional legitimate revenue for the Company. |
| 2 | 0810 | Quarrying of stone, sand, gravel, and clay | During the iron ore mining process, overburden stripping and rock/soil handling generate a large volume of materials. On the basis of practical demand and the orientation toward rational utilization of materials generated during mining, the Company plans to study appropriate recovery, treatment, and processing for use as common construction materials, backfilling materials, or other suitable products to serve internal construction needs and market demand in accordance with the provisions of law. |

According to current enterprise registration data, the Company currently records 01 business line.

Total number of CISCO's business lines after standardization and addition: 16 business lines.

Note: Standardization does not replace licenses, certificates, eligibility conditions, or specialized business investment conditions that the Company must satisfy for each specific activity.

**APPENDIX 2**
**BUSINESS LINES OF THE COMPANY AFTER ADJUSTMENT**
*(Attached to Proposal No. 2001 /TTr-CISCO September 9 , 2026)*

| No | Business line code | Business line name |
|---|---|---|
| 1. | 0710 | Iron ore mining (Principal) |
| 2. | 0990 | Other mining support service activities |
| 3. | 2410 | Manufacture of iron, steel, and pig iron |
| 4. | 7110 | Architectural activities and related technical consultancy |
| 5. | 2823 | Manufacture of machinery and equipment for metallurgy |
| 6. | 2824 | Manufacture of mining and construction machinery |
| 7. | 3312 | Repair and maintenance of machinery and equipment |
| 8. | 4659 | Wholesale of other machinery, equipment, and spare parts |
| 9. | 7499 | Other professional, scientific, and technical activities not elsewhere classified |
| 10. | 4672 | Wholesale of metals and metal ores *(Excluding Wholesale of gold, silver, and other precious metals)* |
| 11. | 4673 | Wholesale of other construction materials and installation equipment *(Wholesale of building bricks, tiles, stone, sand, gravel)* |
| 12. | 4933 | Freight transport by road |
| 13. | 5229 | Other transportation support activities |
| 14. | 5231 | Freight transport agency activities |
| 15. | 6810 | Real estate business, land use rights belonging to owners, users, or leased |
| 16. | 0810 | Quarrying of stone, sand, gravel, and clay |

CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG
M.S.D.N.: 48001622...
CISCO

No: 2002 /TTr-CISCO

SOCIALIST REPUBLIC OF VIETNAM
**Independence - Freedom - Happiness**

*Cao Bang, September 17,2026*

# PROPOSAL

## Re: Amending and supplementing the Company's Charter, Internal Regulations on Corporate Governance, and Operational Regulations of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company

To: The General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company.

Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020;

Pursuant to Decision No. 36/2025/QD-TTg dated September 29, 2025 of the Prime Minister on the promulgation of Vietnam's System of Economic Sectors;

Pursuant to the Charter on Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, amended and supplemented for the fourth time, issued under Decision No. 856/QD-GTCB dated July 21, 2021, of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

Pursuant to Circular No. 116/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance guiding a number of articles on corporate governance applicable to public companies.

To ensure consistency with current legal regulations and to meet governance and operational requirements in corporate management and business operations, the Board of Directors of the Company respectfully proposes that the General Meeting of Shareholders consider amending and supplementing a number of provisions in the Company's Charter, Internal Regulations on Corporate Governance, and Operational Regulations of the Board of Directors, specifically as follows:

**I. Amendments and supplements to the Charter of Organization and Operation of the Company** *(Detailed in attached Appendix 01)*:

1. Correct the spelling error in the Company's English name (Clause 1, Article 2);

2. Amend the registered head office address of the Company in accordance with the current Enterprise Registration Certificate (Clause 6, Article 2);

3. Review, standardize, and reclassify business lines in accordance with Decision No. 36/2025/QD-TTg (Clause 2, Article 4);

4. Repeal the provision permitting shareholders to authorize Board members as their representatives at the General Meeting of Shareholders, aimed at preventing conflicts of interest (Point d, Clause 4, Article 12);

5. Correct the cross-reference error to the Law on Enterprises clause from Article 162 to Article 167 (Point m, Clause 3, Article 27);

6. Repeal the provision regarding the holding of the third Board of Directors meeting regardless of the number of attending members, to align with Article 157 of the 2020 Law on Enterprises (Point c, Clause 8, Article 30);

7. Correct the cross-reference error to the qualifications of the Company's Director in accordance with Article 162 of the 2020 Law on Enterprises (Clause 3, Article 35).

**II. Amendments to the Internal Regulations on Corporate Governance** *(Detailed in attached Appendix 02)*:

Repeal the provision regarding the holding of the third Board of Directors meeting regardless of the number of attending members, to align with Article 157 of the 2020 Law on Enterprises (Clause 3, Article 38).

**III. Amendments and supplements to the Operational Regulations of the Board of Directors** *(Detailed in attached Appendix 03)*:

1. Correct the cross-reference error to the Law on Enterprises clause to Article 167 (Point m, Clause 3, Article 27).

2. Repeal the provision regarding the holding of the third Board of Directors meeting regardless of the number of attending members, to align with Article 157 of the 2020 Law on Enterprises (Point c, Clause 8, Article 15).

Respectfully submitted to the General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company for consideration and approval.

Respectfully!

***Recipients:***
- *Shareholders (Company website);*
- *BOD, BOS;*
- *Archived: Archives, BOD.*

**O/B. BOARD OF DIRECTORS**
**CHAIRMAN**

*(Signed)*

**Ly Xuan Tuyen**

## APPENDIX 01

## SUMMARY OF AMENDMENTS AND SUPPLEMENTS TO THE CHARTER OF ORGANIZATION AND OPERATION OF CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY IN 2026

*(Attached to Proposal No: 2002/TTr-CISCO September 17 ,2026)*

<table>
<tr><th>No.</th><th>Article/Clause</th><th>Current Charter Content</th><th>Proposed Amended/Supplemented Content</th><th>Rationale / Grounds for Amendment/ Supplement</th></tr>
<tr><td>1.</td><td>Clause 1, Article 2</td><td>1. Company name:<br>b) English name: CAO BANG IRON END STEEL JOINT - STOCK COMPANY<br>c) Transaction name: Cao Bang Cast Iron and Steenl Joint - Stock Company</td><td>1. Company name:<br>b) English name: CAO BANG CAST IRON AND STEEL JOINT - STOCK COMPANY<br>c) Transaction name: Cao Bang Cast Iron And Steel Joint - Stock Company</td><td>Correct spelling errors</td></tr>
<tr><td>2.</td><td>Clause 6, Article 2</td><td>6. Registered Head Office of the Company:<br>- Address: No. 052, Kim Dong Street, Hop Giang Ward, Cao Bang City, Cao Bang Province.<br>- Tel: (0206)3 953 369<br>- Fax: (0206)3 953 268<br>- Email: gangthepcb@gmail.com<br>- Website: www.gtcb.com.vn</td><td>6. Registered Head Office of the Company:<br>- Address: No. 052 Kim Dong Street, Thuc Phan Ward, Cao Bang Province.<br>- Tel: (0206)3 953 369<br>- Email: gangthepcb@gmail.com<br>- Website: https://gtcb.com.vn/</td><td>Amended in accordance with the Company's new address as issued in the Business Registration Certificate</td></tr>
<tr><td>3.</td><td>Clause 2, Article 4</td><td>2. Business lines of the Company:<br>(i) Survey, exploration, mining, beneficiation, processing, and trading of minerals.<br>(ii) Mine design consultancy, investment consultancy in mining,</td><td>2. Business lines of the Company:<table><tr><th>No</th><th>Business line code</th><th>Business line name</th></tr><tr><td>1.</td><td>0710</td><td>Iron ore mining (Principal)</td></tr><tr><td>2.</td><td>0990</td><td>Other mining support service activities</td></tr></table></td><td>Reviewed, standardized, and reclassified in accordance with Decision</td></tr>
</table>

| No. | Article/Clause | Current Charter Content | Proposed Amended/Supplemented Content | | | Rationale / Grounds for Amendment/ Supplement |
|---|---|---|---|---|---|---|
| | | beneficiation, and processing of minerals.<br>(iii) Design, manufacture, processing, repair, import and export of goods, materials, and equipment for mineral exploration, mining, processing, and metallurgy.<br>(iv) Production, business, import and export of iron, steel, and construction materials.<br>(v) Transportation and freight forwarding services.<br>- Business in other lines in accordance with provisions of law. | 3. | 2410 | Manufacture of iron, steel, and pig iron | No. 36/2025/QD-TTg |
| | | | 4. | 7110 | Architectural activities and related technical consultancy | |
| | | | 5. | 2823 | Manufacture of machinery and equipment for metallurgy | |
| | | | 6. | 2824 | Manufacture of mining and construction machinery | |
| | | | 7. | 3312 | Repair and maintenance of machinery and equipment | |
| | | | 8. | 4659 | Wholesale of other machinery, equipment, and spare parts | |
| | | | 9. | 7499 | Other professional, scientific, and technical activities not elsewhere classified | |
| | | | 10. | 4672 | Wholesale of metals and metal ores *(Excluding Wholesale of gold, silver, and other precious metals)* | |
| | | | 11. | 4673 | Wholesale of other construction materials and installation equipment *(Wholesale of building bricks, tiles, stone, sand, gravel)* | |
| | | | 12. | 4933 | Freight transport by road | |
| | | | 13. | 5229 | Other transportation support activities | |
| | | | 14. | 5231 | Freight transport agency activities | |
| | | | 15. | 6810 | Real estate business, land use rights belonging to owners, users, or leased | |
| | | | 16. | 0810 | Quarrying of stone, sand, gravel, and clay | |

| No. | Article/Clause | Current Charter Content | Proposed Amended/Supplemented Content | Rationale / Grounds for Amendment/ Supplement |
|---|---|---|---|---|
| 4. | Point d, Clause 4, Article 12 | 3. Holders of ordinary shares have the following rights:<br>d) Shareholders may authorize Board members to represent them at the General Meeting of Shareholders. | Repeal | Potential conflict of interest |
| 5. | Point m, Clause 3, Article 27 | 3. Rights and obligations of the Board of Directors are prescribed by law, the Charter, internal regulations of the Company, and decisions of the General Meeting of Shareholders. Specifically, the Board of Directors has the following powers and duties:<br>m) Approve contracts and transactions signed between the Company and subjects specified in Clause 1, Article 162 of the Law on Enterprises valued at less than 35% of the total asset value of the Company recorded in the latest audited financial statements, except for contracts and transactions within the authority of the General Meeting of Shareholders. The Company's representative signing the contract must notify members of the Board of Directors and the Supervisory Board of related parties to such contract or transaction, attached with the draft contract or principal contents of the transaction. The Board of Directors shall | 3. Rights and obligations of the Board of Directors are prescribed by law, the Charter, internal regulations of the Company, and decisions of the General Meeting of Shareholders. Specifically, the Board of Directors has the following powers and duties:<br>m) Approve contracts and transactions signed between the Company and subjects specified in Clause 1, Article 167 of the Law on Enterprises valued at less than 35% of the total asset value of the Company recorded in the latest audited financial statements, except for contracts and transactions within the authority of the General Meeting of Shareholders. The Company's representative signing the contract must notify members of the Board of Directors and the Supervisory Board of related parties to such contract or transaction, attached with the draft contract or principal contents of the transaction. The Board of Directors shall decide on the approval of the contract or transaction within 15 days from the date of receipt of the notification; members of the Board of Directors with related interests shall not have voting rights; | Correct typographical error from Article 162 to current Article 167 |

| No. | Article/Clause | Current Charter Content | Proposed Amended/Supplemented Content | Rationale / Grounds for Amendment/ Supplement |
|---|---|---|---|---|
| | | decide on the approval of the contract or transaction within 15 days from the date of receipt of the notification; members of the Board of Directors with related interests shall not have voting rights; | | |
| 6. | Point c, Clause 8, Article 30 | 8. Minimum attending members.<br>c) If such a quorum is not present, the Board of Directors meeting shall be reconvened for the third time on the next working day at the same venue and time, at which point the Board of Directors meeting shall always be valid regardless of the number of attending members. | Repeal | Article 157 of the Law on Enterprises |
| 7. | Clause 3, Article 35 | 3. Qualifications.<br>The Director of the Company must meet the qualifications under Article 64 of the Law on Enterprises and must not be prohibited by law from holding this position, namely minors, persons lacking capacity for civil acts, persons convicted of imprisonment, persons serving prison sentences, armed forces personnel, state officials and civil servants, and persons ruled to have caused the bankruptcy of a company they previously led. | 1. Qualifications.<br>The Director of the Company must meet the qualifications under Article 162 of the Law on Enterprises and must not be prohibited by law from holding this position, namely minors, persons lacking capacity for civil acts, persons convicted of imprisonment, persons serving prison sentences, armed forces personnel, state officials and civil servants, and persons ruled to have caused the bankruptcy of a company they previously led. | Cross-reference to qualifications under Article 162 of the current Law on Enterprises |

**APPENDIX 02**

**SUMMARY OF AMENDMENTS TO THE INTERNAL REGULATIONS ON CORPORATE GOVERNANCE OF CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY IN 2026**

*(Attached to Proposal No: 2002/TTr-CISCO September17 ,2026)*

| No. | Article/ Clause | Current Regulation Content | Proposed Amended Content | Rationale / Grounds for Amendment/Supplement |
|---|---|---|---|---|
| 1. | **Clause 3, Article 38** | **Article 38. Conditions for holding a Board of Directors meeting**<br>3. If such a quorum is not present, the Board of Directors meeting shall be reconvened for the third time on the next working day at the same venue and time, at which point the Board of Directors meeting shall always be valid regardless of the number of attending members. | Repeal | Article 157 of the Law on Enterprises |

## APPENDIX 03

## SUMMARY OF AMENDMENTS AND SUPPLEMENTS TO THE OPERATIONAL REGULATIONS OF THE BOARD OF DIRECTORS OF CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY IN 2026

*(Attached to Proposal No: 2002/TTr-CISCO September 17 ,2026)*

| No. | Article/Clause | Current Regulation Content | Proposed Amended Content | Rationale / Grounds for Amendment/Supplement |
|---|---|---|---|---|
| 1. | Point m, Clause 3, Article 27 | 3. Rights and obligations of the Board of Directors are prescribed by law, the Charter, internal regulations of the Company, and decisions of the General Meeting of Shareholders. Specifically, the Board of Directors has the following powers and duties:<br>m) Approve contracts and transactions signed between the Company and subjects specified in Clause 1, Article 162 of the Law on Enterprises valued at less than 35% of the total asset value of the Company recorded in the latest audited financial statements, except for contracts and transactions within the authority of the General Meeting of Shareholders. The Company's representative signing the contract must notify members of the Board of Directors and the Supervisory Board of related parties to such contract or transaction, attached | 3. Rights and obligations of the Board of Directors are prescribed by law, the Charter, internal regulations of the Company, and decisions of the General Meeting of Shareholders. Specifically, the Board of Directors has the following powers and duties:<br>m) Approve contracts and transactions signed between the Company and subjects specified in Clause 1, Article 167 of the Law on Enterprises valued at less than 35% of the total asset value of the Company recorded in the latest audited financial statements, except for contracts and transactions within the authority of the General Meeting of Shareholders. The Company's representative signing the contract must notify members of the Board of Directors and the Supervisory | Correct typographical error from Article 162 to current Article 167 |

| No. | Article/Clause | Current Regulation Content | Proposed Amended Content | Rationale / Grounds for Amendment/Supplement |
|---|---|---|---|---|
| | | with the draft contract or principal contents of the transaction. The Board of Directors shall decide on the approval of the contract or transaction within 15 days from the date of receipt of the notification; members of the Board of Directors with related interests shall not have voting rights; | Board of related parties to such contract or transaction, attached with the draft contract or principal contents of the transaction. The Board of Directors shall decide on the approval of the contract or transaction within 15 days from the date of receipt of the notification; members of the Board of Directors with related interests shall not have voting rights; | |
| 2. | **Point c, Clause 8, Article 15** | 8. Minimum attending members.<br>c) If such a quorum is not present, the Board of Directors meeting shall be reconvened for the third time on the next working day at the same venue and time, at which point the Board of Directors meeting shall always be valid regardless of the number of attending members. | Repeal | Article 157 of the Law on Enterprises |

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|---|---|
| **CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY** | **SOCIALIST REPUBLIC OF VIETNAM**<br>**Independence - Freedom - Hapiness** |
| No: 2003 /TTr-CISCO | *Cao Bang, September 17 ,2026* |

CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG
M.S.D.N: 4800162247
CISCO

# PROPOSAL

## Regarding the Dismissal and Supplementary Election of Members of the Board of Directors for the Remainder of the 2022–2027 Term

To: The General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company.

Pursuant to the Enterprise Law No. 59/2020/QH14 dated June 17, 2020;

Pursuant to the Securities Law No. 54/2019/QH14 dated November 26, 2019;

Pursuant to the Charter on Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, amended and supplemented for the fourth time, issued under Decision No. 856/QD-GTCB dated July 21, 2021, of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

Pursuant to the current practical situation of the Company.

The Board of Directors (BOD) of Cao Bang Cast Iron & Steel Joint Stock Company respectfully submits to the General Meeting of Shareholders (GMS) for consideration and approval of the dismissal and supplementary election of members of the Board of Directors for the remainder of the 2022 - 2027 term, as follows:

**I. Dismissal of 01 member of the Board of Directors (BOD) for the 2022 - 2027 term:**

- Mr. Trinh Van Tuan – Member of the Board of Directors

**II. Supplementary election of members of the BOD for the Remainder of the 2022–2027 Term**:

To ensure the number of members of the Board of Directors in accordance with the regulations of the Law on Enterprises and the Company's Charter, the Board of Directors respectfully submits to the General Meeting of Shareholders for approval of:

1. Number of additional members of the Board of Directors to be elected: 01 member.

2. Term of the additionally elected member of the Board of Directors: For the remaining period of the Board of Directors' 2022 - 2027 term.

The list of qualified candidates will be announced before the General Meeting based on the results of candidacy applications and nominations from shareholders or groups of shareholders prior to the date of the General Meeting.

Respectfully submitted to the General Meeting of Shareholders for approval.

Respectfully./.

| | |
|---|---|
| ***Recipients:***<br>*- As above;*<br>*- Archived: Office.* | **O/B. BOARD OF DIRECTORS**<br>**CHAIRMAN**<br><br>*(Signed)*<br><br>**Ly Xuan Tuyen** |

CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG
M.S.D.N: 48001622...
CISCO

**CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY**

No: 2004 /TB-CISCO

**SOCIALIST REPUBLIC OF VIETNAM**
**Independence - Freedom - Happiness**

*Cao Bang, September 17,2026*

# ANNOUNCEMENT
## Regarding the Candidacy and Nomination of personnel for the supplementary election of members of the Board of Directors for the Remainder of the 2022 - 2027 Term

To: The General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company.

Pursuant to the Enterprise Law No. 59/2020/QH14 dated June 17, 2020;

Pursuant to the Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government on detailing the implementation of a number of articles of the Law on Securities and the Decree No. 245/2025/ND-CP dated September 11, 2025 of the Government amending and supplementing a number of articles of Decree No. 155/2020/ND-CP;

Pursuant to the Charter on Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, amended and supplemented for the fourth time, issued under Decision No. 856/QD-GTCB dated July 21, 2021, of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

Pursuant to the Internal Regulations on Corporate Governance and the Operational Regulations of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

Pursuant to the practical situation: 01 member of the Company's Board of Directors is currently in temporary detention under the decision of the Police Investigation Agency - Ministry of Public Security.

The Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company hereby notifies valued Shareholders of the nomination and candidacy of candidates for the supplementary election to replace the member of the Board of Directors (BOD) for the remainder of the 2022–2027 term, as follows:

- Number of additional members of the BOD to be elected: 01 member of the BOD.

- Term of the additionally elected member of the BOD: In accordance with the remaining period of the Board of Directors' 2022 - 2027 term.

- Time and venue for conducting the election: The supplementary election of the BOD member will be conducted at the Extraordinary General Meeting of Shareholders in 2026.

Guidelines on the nomination and candidacy of candidates for the supplementary election of BOD members are announced and posted by the Company's Board of Directors on the Company's website (https://gtcb.com.vn/). Valued shareholders are requested to carefully review the Guidelines on

Nomination and Candidacy for the supplementary election of BOD members to exercise their rights of nomination and candidacy for BOD members for the 2022 - 2027 term appropriately and in accordance with the provisions of Law and the Company's Charter.

Draft Election Regulation: The draft is announced and posted on the Company's website by the Company's Board of Directors and will be approved by the General Meeting of Shareholders at the Extraordinary General Meeting of Shareholders in 2026 before conducting the voting./.

Respectfully./.

***Recipients:***
- *Company Shareholders (website);*
- *Members of the BOD, BOS;*
- *Archived: Office Administration, BOD.*

**O/B. BOARD OF DIRECTORS**
**CHAIRMAN**

*(Signed)*

**Ly Xuan Tuyen**

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# CANDIDACY APPLICATION FORM
# MEMBERS OF THE BOARD OF DIRECTORS TERM 2022-2027
# CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

To: Cao Bang Cast Iron and steel Joint Stock Company

Full name:.............. .................... .................... .................. ..........................

ID Card/Citizen ID No: ............. ....................

Date of issue: ......... ....................Place of issue:................ ..........................

Address:................. .................... .................... .................. ..........................

Telephone: .......................................................................................... ..............

Educational background:............ .................... ........ Major:..........................

Currently owned: ................................................................... shares

Number of shares held : .................................. shares, equals to...........% of voting shares.

*(In words: ............. ...................................................................................).*

I hereby request to stand for election as a member of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company for the 2022–2027 term at the 2026 Extraordinary General Meeting of Shareholders.

If being trusted and elected by the shareholders as a BOD' member I would like to commit all my capabilities and enthusiasm to contribute to the development of Cao Bang Cast Iron and steel Joint Stock Company.

Sincerely!

*............., [Month] ......, [Day] ......, 2026*

**Candidate**

*(Sign and write full name)*

***Attached documents:***

- Self-declared information form.
- Notarized copy of Citizen ID Card (or Passport).
- Documents proving CBI share ownership.

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CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG
M.S.D.N: 4800162247

# NOMINATION FORM
# MEMBERS OF THE BOARD OF DIRECTORS TERM 2022-2027
# CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

To: Cao Bang Cast Iron and steel Joint Stock Company

Individual/Organization: ........... .................... ................. .........................
ID Card/Citizen ID No: .............Date of issue: ................
Place of issue:......... ...................
Address:................ .................. .................. ................. .........................
Telephone: ..........................................................................................................
Currently owned: ............................................................... shares
Number of shares held : ................................. shares, equals to...........% of voting shares.
*(In words: ............. .............................................................................. .....).*
I/We would like to request Cao Bang Cast Iron and steel Joint Stock Company to accept my/our nomination of:
Mr (Mrs): ............. .................. .................. ................. .........................
Date of issue: ........ ...................Place of issue:............... .........................
Address:................ .................. .................. ................. .........................
Permanent Address: .................. .................. ................. .........................
Educational background:............ ................... ........ Major:.........................
Currently owned: ............................................................... shares
*(In words: ............. .............................................................................. .....).*
To be a candidate for Member of the Board of Directors of Cao Bang Cast Iron and steel Joint Stock Company for the 2022-2027 term at the 2026 Extraordinary General Meeting of Shareholders.
Sincerely./.

*............., Month ......, Day ......, 2026*

| **Nominated Person** | **Shareholder** |
|---|---|
| *(Sign and write full name)* | *(Sign and write full name)* |

***Attached documents:***
- Self-declared information form.
- Notarized copy of Citizen ID Card (or Passport).
- Documents proving the nominating shareholder's ownership of CBI shares.

CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG

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# GROUP NOMINATION FORM
# MEMBERS OF THE BOARD OF DIRECTORS TERM 2022-2027
# CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

Today,     /   /2026, at ........................................

Name of the Representative of the Group of Shareholders: .........................

ID Card/Citizen ID No: ............. ....................

Date of issue: ......... ....................Place of issue:................ .........................

Address:................. .................. .................. .................. .........................

Telephone: ........................................................................................

Being the authorized representative for a group of shareholders of Cao Bang Cast Iron and Steel Joint Stock Company, currently hold total of:.................. shares, equals to...........% of the total voting shares of CBI, with names in the list below:

| Full name | ID Card/Citizen ID No | Address | Currently owned | Notes |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | Total | | | |

We agree on the nomination:
Mr (Mrs): .............. .................. .................. ................. ....................
ID Card/Citizen ID No: ............. ....................Date of issue: ................
Place of issue: ........ .................. .................. ................. ....................
Permanent Address: .................. .................. ..................
Educational background:............ .................. ........ Major:.........................

To be a candidate for Member of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company for the 2022-2027 term at the 2026 Extraordinary General Meeting of Shareholders./.

*............., Month ......, Day ......, 2026*

| Nominated Person | Shareholder Group Representative |
|---|---|
| *(Sign and write full name)* | *(Sign and write full name)* |

***<u>Attached documents:</u>***
- Self-declared information form.
- Notarized copy of Citizen ID Card (or Passport).
- Documents proving that the shareholders in the group hold the stated number of CBI shares.

CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

No: 2005 /HD-CISCO

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*Cao Bang, September 17 ,2026*

# GUIDELINES
# ON NOMINATION AND CANDIDACY FOR MEMBERS OF THE BOARD OF DIRECTORS FOR THE REMAINDER OF THE 2022–2027 TERM CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

To: The General Meeting of Shareholders of
Cao Bang Cast Iron and Steel Joint Stock Company.

Pursuant to the Enterprise Law No. 59/2020/QH14 dated June 17, 2020;

Pursuant to the Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government on detailing the implementation of a number of articles of the Law on Securities and the Decree No. 245/2025/ND-CP dated September 11, 2025 of the Government amending and supplementing a number of articles of Decree No. 155/2020/ND-CP;

Pursuant to the Charter on Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, amended and supplemented for the fourth time, issued under Decision No. 856/QD-GTCB dated July 21, 2021, of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

Pursuant to the Internal Regulations on Corporate Governance and the Operational Regulations of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

The Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company would like to inform our valued Shareholders of the specific regulations regarding the nomination and candidacy for the supplementary election of members of the Board of Directors for the remainder of the 2022-2027 term as follows:

## I. NUMBER OF BOARD MEMBERS TO BE ELECTED:

- Number of members of the Board of Directors for supplementary election: 01 members.

- Term of office: The remaining period of the 2022 - 2027 term.

## II. ELIGIBILITY CRITERIA AND CONDITIONS FOR NOMINATION AND CANDIDACY OF MEMBERS OF THE BOARD OF DIRECTORS:

**1. Eligibility Criteria for Board Members:** (As prescribed in Clause 1, Article 155 of the Law on Enterprises)

- Do not fall under the categories specified in Clause 2, Article 17 of the Law on Enterprises;

- Possess professional qualifications and experience in business administration or in the company's specific fields and lines of business;

- Be a person of vision with the ability to formulate corporate strategies and demonstrate high levels of management and corporate governance skills. Priority shall be given to candidates with practical experience in the company's fields of operation;

- Possess professional ethics, good health, integrity, good moral character, and a solid understanding of the law.

**2. Independent Board Members: (As prescribed in Clause 1, Article 26 of the Company's Charter)**

Composition of the Board of Directors: The number of independent or non-executive Board members must account for at least one-third (1/3) of the total number of Board members. The minimum number of independent and non-executive Board members shall be determined by rounding down.

Eligibility criteria for Independent Board Members: (As prescribed in Clause 2, Article 155 of the 2020 Law on Enterprises):

- Not be a person currently working for the company, its parent company, or its subsidiaries; and not have worked for the company, its parent company, or its subsidiaries for at least 03 consecutive years prior;

- Not be a person currently receiving a salary or remuneration from the company, except for the allowances that Board members are entitled to in accordance with regulations;

- Not be a person whose spouse, biological parent, adoptive parent, biological child, adoptive child, sibling (brother or sister) is a major shareholder of the company; or a manager of the company or its subsidiaries;

- Not be a person who directly or indirectly owns at least 01% of the total voting shares of the company;

- Not have served as a member of the Board of Directors or the Supervisory Board of the company for at least 05 consecutive years prior, except in cases of being appointed for 02 consecutive terms;

- Other conditions in accordance with the Company's Charter.

**3. Conditions for Nomination and Candidacy of Board Member Candidates:** *(As prescribed in Clause 2, Article 25 of the Company's Charter)*

- Shareholders or groups of shareholders holding voting shares have the right to aggregate their voting rights to nominate or stand as candidates for the Board of Directors for the remainder of the 2022-2027 term.

- Shareholders or groups of shareholders holding from 5% to less than 20%

of the total voting shares are entitled to nominate one (01) candidate; from 20% to less than 50% may nominate a maximum of two (02) candidates; from 50% to less than 65% may nominate a maximum of three (03) candidates; and from 65% or more may nominate the full number of candidates;

- In cases where the number of Board candidates via nomination and candidacy remains insufficient, the incumbent Board of Directors may nominate additional candidates or organize the nomination process according to a mechanism prescribed by the Company.

## IV. DOSSIER FOR NOMINATION AND CANDIDACY

The dossier for nomination and candidacy for the Board of Directors includes:

- Nomination or Candidacy Form for the Board of Directors or the Minutes of Group Meeting (in case of a group of shareholders);

- Self-declared Information Formand and the Information Declaration Form in accordance with Appendix III issued under Circular No. 96/2020/TT-BTC dated November 16, 2020;

- Copy of Identity Card/Citizen Identification Card (or Passport)/Degrees, Certificates proving professional qualifications;

- Copy of Identity Card/Citizen Identification Card (or Passport)/Degrees, Certificates proving professional qualifications of groups of shareholders;

- In case the person participating in the nomination or candidacy is the authorized representative of the shareholder, the power of attorney must be attached.

- Note to valued Shareholders: Persons standing for candidacy or nominating candidates to be elected as members of the Board of Directors must be solely responsible under the law and to the General Meeting of Shareholders for the accuracy and truthfulness of the contents of their candidacy or nomination dossiers.

## V. SELECTION OF CANDIDATES

Based on the Nomination and Candidacy Forms from shareholders or groups of shareholders and the accompanying dossiers of the candidates, the Board of Directors will compile and approve a list of qualified candidates as prescribed to submit to the General Meeting of Shareholders for election to the BOD.

Only nomination or candidacy dossiers that meet the requirements and candidates who satisfy the eligibility criteria for the members of the Board of Directors will be included in the official list announced at the General Meeting.

## V. DEADLINE AND ADDRESS FOR SUBMISSION OF NOMINATION AND CANDIDACY DOSSIERS:

To facilitate and ensure the organizing work of the General Meeting, the Organizing Committee of the General Meeting respectfully requests eligible Shareholders or Groups of Shareholders to submit candidacy or nomination dossiers to the Company before 16:00 on October , 2026, to finalize the procedures in accordance with regulations. Dossiers should be sent to the following address:

**Cao Bang Cast Iron and Steel Joint Stock Company**

Address: Cao Bang Cast Iron and Steel Joint Stock Company (Chu Trinh 1 Group, Tan Giang Ward, Cao Bang Province).

Telephone: 026.3953.369

**O/B. BOARD OF DIRECTORS**
**CHAIRPERSON**
*(Signed)*
**Ly Xuan Tuyen**

T.C.P ★

CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

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*Cao Bang, September 17,2026*

# REGULATION
# THE SUPPLEMENTARY ELECTION OF MEMBERS OF THE BOARD OF DIRECTORS TERM 2022-2027 CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

Pursuant to the Enterprise Law No. 59/2020/QH14 dated June 17, 2020;

Pursuant to the Securities Law No. 54/2019/QH14 dated November 26, 2019;

Pursuant to the Charter on Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, amended and supplemented for the fourth time, issued under Decision No. 856/QD-GTCB dated July 21, 2021, of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

To guide shareholders in conducting the supplementary election of members of the Board of Directors/ members of the Board of Supervisor for the 2022-2027 term, the Board of Directors submits the Election Regulation to the General Meeting of Shareholders for approval, with the following contents:

**Article 1. Eligible voters.**

Shareholders owning voting shares and authorized representatives of shareholders owning voting shares as of the record date for the Company's shareholder list (According to the shareholder list recorded on September 9, 2026 by the Vietnam Securities Depository and Clearing Corporation).

**Article 2. Qualifications and Conditions**

**1. Eligibility Criteria for BOD Members:** (*Pursuant to* Clause 1, Article 155 of the Law on Enterprises)

- Do not fall under the categories specified in Clause 2, Article 17 of the Law on Enterprises;

- Possess professional qualifications and experience in business administration or in the company's specific fields and lines of business;

- Be a person of vision with the ability to formulate corporate strategies and demonstrate high levels of management and corporate governance skills. Priority shall be given to candidates with practical experience in the company's fields of operation;

- Possess professional ethics, good health, integrity, good moral character, and a solid understanding of the law.

**2. Independent BOD Members:** *(Pursuant to Clause 1, Article 26 of the Company's Charter)*

Composition of the Board of Directors: The number of independent or non-executive Board members must account for at least one-third (1/3) of the total number of Board members. The minimum number of independent and non-executive Board members shall be determined by rounding down.

Eligibility criteria for Independent Board Members: *(Pursuant to Clause 2, Article 155 of the 2020 Law on Enterprises):*

- Not be a person currently working for the company, its parent company, or its subsidiaries; and not have worked for the company, its parent company, or its subsidiaries for at least 03 consecutive years prior;

- Not be a person currently receiving a salary or remuneration from the company, except for the allowances that Board members are entitled to in accordance with regulations;

- Not be a person whose spouse, biological parent, adoptive parent, biological child, adoptive child, sibling (brother or sister) is a major shareholder of the company; or a manager of the company or its subsidiaries;

- Not be a person who directly or indirectly owns at least 01% of the total voting shares of the company;

- Not have served as a member of the Board of Directors or the Supervisory Board of the company for at least 05 consecutive years prior, except in cases of being appointed for 02 consecutive terms;

- Other conditions in accordance with the Company's Charter.

**Article 3. Number of Additional Members to be Elected to the Board of Directors (BOD)**

- Number of additional BOD members to be elected: 01 members

**Article 4. Nomination and candidacy for membes of the Board of Directors (BOD):** *(Pursuant to Clause 2, Article 25 of the Company's Charter)*

- Shareholders or groups of shareholders holding voting shares have the right to aggregate their voting rights to nominate or stand as candidates for the Board of Directors for the 2022-2027 term.

- Shareholders or groups of shareholders holding from 5% to less than 20% of the total voting shares are entitled to nominate one (01) candidate; from 20% to less than 50% may nominate a maximum of two (02) candidates; from 50% to less than 65% may nominate a maximum of three (03) candidates; and from 65% or more may nominate the full number of candidates;

- In cases where the number of Board candidates via nomination and candidacy remains insufficient, the incumbent Board of Directors may nominate additional candidates or organize the nomination process according to a mechanism prescribed by the Company.

**Article 5. Nomination and candidacy dossiers and compilation of the list of candidates.**

**1. Nomination and candidacy dossiers**

The dossier for nomination and candidacy for Member of Board of Directors (BOD) includes:

- Nomination or Candidacy Form for Member of Board of Directors (BOD); or the Minutes of Group Meeting (in case of a group of shareholders);

- Self-declared Information Form;

- Copy of Identity Card/Citizen Identification Card (or Passport);

- Copies of degrees and certificates proving professional qualifications;

- Documents proving that the candidate or the nominating shareholder(s) own CBI shares (The original Certificate of Share Ownership or a Securities Account Statement certified by a Securities Company, clearly indicating the quantity of CBI shares owned).

**2. Deadline and address for submission of nomination and candidacy dossiers:**

Shareholders or groups of shareholders to submit their nomination and candidacy documents for qualified BOD' member/ BOS' member candidates to the Company **before 16:00 on October 06, 2026,** to finalize the procedures in accordance with regulations. Dossiers should be sent to the following address:

**Cao Bang Cast Iron and Steel Joint Stock Company**

Address: Cao Bang Cast Iron and Steel Joint Stock Company (Chu Trinh 1 Group, Tan Giang Ward, Cao Bang Province).

Telephone: 026.3953.369

**3. Compilation of the list of candidates:**

- Based on the candidacy and nomination applications of shareholders and groups of shareholders, along with the accompanying dossiers of the candidates, the Board of Directors shall compile and approve the list of eligible candidates as prescribed, to submit to the General Meeting of Shareholders for approval.

- Only valid nomination or candidacy dossiers and eligible candidates shall be included in the list announced at the General Meeting.

**Article 6. Voting Method.**

- The voting for the supplementary election BOD' member shall be conducted by secret ballot using the cumulative voting method as guided in Clause 3, Article 148 of the Law on Enterprises 2020.

- Each shareholder has a total number of voting rights corresponding to the number of voting shares (including both owned and authorized shares) multiplied by (x) the number of Board members expected to be additionally elected. Shareholders may choose one of the following two methods for recording their votes:

+ Method of direct recording of voting rights: Shareholders directly record the number of voting rights in the "Number of voting rights" column corresponding to their chosen candidates. The number of voting rights for each candidate may vary depending on the confidence of the shareholder/authorized representative in each candidate. The total number of voting rights cast for all candidates must not exceed the total voting rights held by that shareholder/authorized representative.

+ Checkbox method: Shareholders mark (X or V) in the "Equal division selection" box. In this case, the shareholder's voting rights will be understood as the total number of voting rights equally divided among the selected candidates.

*Note: Shareholders must choose only one of the two above methods to record their votes for Board members. If a shareholder/authorized representative uses both methods simultaneously, the ballot shall be considered invalid.*

**Article 7. Cases of invalid ballots.**

- The ballot does not follow the template prescribed by the Company.

- The ballot contains erasures or includes the names of individuals not on the list of candidates approved by the GMS before the voting began.

- The total number of votes cast for candidates exceeds the total voting rights owned or authorized to that shareholder.

- The ballot votes for more than the number of BOD' member approved by the General Meeting.

- The shareholder uses both voting methods simultaneously

- The ballot contains crossings-out or corrections without the signature of the shareholder/authorized representative next to the corrected part.

- The ballot is submitted to the Vote Counting Committee after the voting has ended and the ballot box has been sealed.

**Article 8. The Vote Counting Committee, voting and counting principles.**

• **The Vote Counting Committee:**

- The Vote Counting Committee shall be nominated by the Presidium and approved by the General Meeting of Shareholders;

- Responsibilities of the Vote Counting Committee:

+ Distributing ballots to shareholders;

+ Guiding shareholders on the election process, voting methods, and balloting;

+ Conducting the counting of votes for BOD' member;

+ Preparing the vote-counting minutes and announcing the election results before the General Meeting.

- Members of the Vote Counting Committee must not be included in the nomination list.

*** Voting and counting principles:**

- The Vote Counting Committee shall inspect the ballot boxes in the presence of the shareholders;

- The voting process shall begin once the distribution of ballots is completed and end when the last shareholder has cast their vote into the ballot box;

- The counting of votes must be conducted immediately after the voting has concluded;

- The vote-counting results must be recorded in writing and announced by the Head of the Vote Counting Committee before the General Meeting;

**Article 9. Election Principles.**

- The elected members of the Board of Directors shall be determined based on the number of voting rights in descending order, starting from the candidate with the highest number of voting rights until the required number of BOD' member approved by the GMS is reached.

- In the event that two or more candidates receive an equal number of voting rights for the final position, a re-election shall be held among those candidates with equal voting rights to determine the winner.

**Article 10. Preparation and announcement of the vote-counting minutes.**

- After the vote counting, the Vote Counting Committee must prepare the Election Counting Minutes.

- The content of the Counting Minutes shall include: Total number of attending shareholders, total number of participating voters, the ratio of total votes cast to the total voting rights of attending shareholders, the number and ratio of valid ballots, invalid ballots, blank ballots, and the total number of voting rights for each candidate.

- The full text of the Election Minutes must be announced before the General Meeting.

**Article 11. Implementation provisions.**

Any complaints regarding the election and vote counting shall be resolved by the Chairperson of the General Meeting of Shareholders and recorded in the minutes of the General Meeting of Shareholders.

This Regulation consists of 11 Articles and shall be publicly read before the General Meeting of Shareholders for approval by voting. This Regulation shall take effect immediately upon approval by the General Meeting of Shareholders and shall only apply to the election of Board members for the 2022-2027 term./.

***Recipients:***
- Company Shareholders (via website);
- BOD; BOS; Director members;
- Archive: Archivist.

**O/B. BOARD OF DIRECTORS**
**CHAIRPERSON**

*(Signed)*

**Lý Xuân Tuyên**

CAO BANG CAST IRON AND STEEL JOINT STOCK COMPANY

No: 2006/BC-CISCO

SOCIALIST REPUBLIC OF VIETNAM

**Independence - Freedom - Happiness**

*Cao Bang, September 17,2026*

M.S.D.N: 4800162247
CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG
CISCO

# REPORT

## Appointment of the Director of Cao Bang Cast Iron and Steel Joint Stock Company.

To: The General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company.

Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020;

Pursuant to the Charter on Organization and Operation of Cao Bang Cast Iron and Steel Joint Stock Company, amended and supplemented for the fourth time, issued under Decision No. 856/QD-GTCB dated July 21, 2021, of the Board of Directors of Cao Bang Cast Iron and Steel Joint Stock Company;

Pursuant to Decision No. 388/QD-CISCO dated May 28, 2026 of the Board of Directors of the Company regarding the appointment of the Director of Cao Bang Cast Iron and Steel Joint Stock Company.

The Board of Directors of the Company respectfully reports to the General Meeting of Shareholders the following content:

Exercising the authority prescribed in Point c Clause 3 Article 27 of the Company's Charter, on May 28, 2026, the Board of Directors of the Company issued Decision No. 388/QD-CISCO regarding the appointment of the Director of the Company, specifically:

- Full name: Mr. Tran Van Chuong;
- Position prior to appointment: Member of the Board of Directors of the Company;
- Appointed position: Director of Cao Bang Cast Iron and Steel Joint Stock Company;
- Effective date of appointment: From May 28, 2026;
- Term of office: 05 years from the date of appointment;

Pursuant to the provisions in Point r, Clause 3, Article 27 of the Company's Charter, the appointment of the Director of the Company falls under the decision-making authority of the Board of Directors and has been completed in accordance with the prescribed sequence and procedures.

The Board of Directors respectfully reports the above content to the General Meeting of Shareholders for information. This content is reported for the General Meeting of Shareholders' information and is not subject to voting for approval.

Respectfully!

***Recipients:***
*- As above;*
*- Archived: Office, BOD.*

**O/B. BOARD OF DIRECTORS**
**CHAIRMAN**

*(Signed)*

**Ly Xuan Tuyen**