

TỔNG CÔNG TY KHOÁNG SẢN – TKV
VINACOMIN - MINERALS HOLDING COMPANY
CÔNG TY CỔ PHẦN GANG THÉP CAO BẰNG
CAO BANG CAST IRON AND STEEL
JOINT STOCK COMPANY

CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM
THE SOCIALIST REPUBLIC OF VIETNAM
Độc lập - Tự do - Hạnh phúc
Independence - Freedom - Happiness

Cao Bằng, ngày 29 tháng 7 năm 2026
Cao Bang, July 29, 2026

Số/No: 1580.. /CISCO-CBTT
V/v công bố thông tin Báo cáo tình hình quản trị
Công ty 6 tháng đầu năm 2026
disclosure of corporate governance report for
the first 6 months of 2026

CÔNG BỐ THÔNG TIN ĐỊNH KỲ ORDINARY INFORMATION DISCLOSURE

Kính gửi/To:

- Ủy ban Chứng khoán Nhà Nước/ State Securities Committee;
- Sở Giao dịch Chứng khoán Hà Nội/ HNX Stock Exchange.

1. Tên tổ chức/ Name of organisation: Công ty cổ phần Gang thép Cao Bằng/
Cao Bang Cast Iron and Steel Joint Stock Company.

- Mã chứng khoán/ Stock Code: CBI.

- Địa chỉ/ Address: Km7, QL4A, phường Tân Giang, tỉnh Cao Bằng / Km7,
National Road 4A, Tan Giang ward, Cao Bang Province.

- Số điện thoại/ Telephone: 0206 3953 369.

- E-mail: gangthepcb@gmail.com.

2. Nội dung thông tin công bố/ Contents of information Disclosure: Báo cáo
tình hình quản trị Công ty 6 tháng đầu năm 2026/ Report on corporate governance
the first 6 months of 2026

3. Địa chỉ Website đăng tải thông tin/ Websites address: <https://gtcb.com.vn>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn
toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ We
hereby certify that the above information is accurate and we are fully responsible
before the law regarding the information disclosed./.

Nơi nhận/Place of receipt:

- Như kính gửi/As addressed;
 - Lưu VT/Save in the archives.
- Tài liệu đính kèm/Attached documents:
- Báo cáo tình hình quản trị Công ty
6 tháng đầu năm 2026 / Report on
corporate governance the first 6 months
of 2026. *R*

NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT
LEGAL REPRESENTATIVE

GIÁM ĐỐC
DIRECTOR



Trần Văn Chương

TKV - MINERALS HOLDING CORPORATION
CAO BANG CAST IRON AND STEEL JOINT
STOCK COMPANY

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.: 1581 /BC-CISCO

Cao Bang, July 29, 2026

**REPORT ON
THE CORPORATE GOVERNANCE
(The first 6 months of 2026)**

Dear:

- State Securities Committee;
- HNX Stock Exchange.
- Name of Company: Cao Bang Cast Iron and Steel Joint Stock Company.
- Head office address: No. 052 Kim Dong Street, Thuc Phan Ward, Cao Bang Province.
- Contact Address: Km7, National Road 4A, Tan Giang Ward, Cao Bang Province.
- Phone: 0206 3953 369.
- E-mail: gangthepcb@gmail.com
- Charter capital: 430.063,66 million VND
- Stock code: CBI
- Corporate Governance Model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, and Director.
- Internal audit function: Implemented.

I. Activities of the General Meeting of Shareholders

On June 24, 2026, Cao Bang Cast Iron and Steel Joint Stock Company held its 2025 Annual General Meeting of Shareholders at the 2nd floor auditorium - Cao Bang Steel Complex - Km7, National Road 4A, Tan Giang Ward, Cao Bang Province. Based on the reports, submissions, and discussions, the 2026 Annual General Meeting of Shareholders of Cao Bang Cast Iron and Steel Joint Stock Company approved the following main contents:

No.	Resolution Number	Date	Contents
1	No: 769/NQ-CISCO-DHDCD	24/4/2026	At the 2026 Annual General Meeting of Shareholders, the following were approved: (1) Report on Business Performance in Implementation of the 2025 Resolution of General Meeting of Shareholders and the 2026 Production and Business Plan.

No.	Resolution Number	Date	Contents
			(2) Report on the activities of the Board of Directors (BOD) in implementing the 2025 Resolution of General Meeting of Shareholders and the 2026 activity plan. (3) Report on the activities of the Supervisory Board in 2025 and the 2026 activity plan. (4) Proposal on the Selection of the Auditing Firm for the 2026 Financial Statements. (5) The 2025 Financial Statements have been audited. (6) Proposal for approval of the 2025 construction investment results and the 2026 construction investment plan (7) Proposal on the remuneration payment plan for the Board of Directors and the Supervisory Board in 2025 and the remuneration plan for 2026. (8) Disapproval of the Proposal on the policy to increase the charter capital of Cao Bang Cast Iron and Steel Joint Stock Company. (9) Approval of the Economic Contract for Purchasing/Selling Steel Billets for 2026–2027 with Vinacomin – Minerals Holding Corporation. (10) Approval of the Economic Contract for Purchasing/Selling Metallurgical Coke for 2026–2027 with Vinacomin – Minerals Holding Corporation. (11) Approval of the dismissal of BOD and Supervisory Board members for the 2022-2027 term. (12) Approval of the supplementary election of BOD and Supervisory Board members for the 2022-2027 term.

No.	Resolution Number	Date	Contents
			(13) Approval of the supplementary election results of BOD and Supervisory Board members for the 2022-2027 term.

II. Board of Directors (Annual Report):

1. Information about Board of Directors members (BOD):

No.	BOD members	Position	Start Date/End Date of BOD Membership	
			Appointment Date	Dismissal Date
1	Ngo Quoc Trung	Chairman of BOD	24/4/2024	24/4/2026
2	Ly Xuan Tuyen	Chairman of BOD	24/4/2026	
3	Nguyen Van Phuong	BOD member	18/6/2019	24/4/2026
4	Tran Van Chuong	BOD member	24/4/2026	
5	To Xuan Thanh	BOD member	29/4/2020	24/4/2026
6	Vu Thi Thanh Hao	BOD member	24/4/2026	
7	Trinh Van Tuan	BOD member	22/4/2011	
8	Pham Thanh Do	BOD member	04/6/2013	

2. Board of Directors Meetings:

No.	BOD members	Number of Meetings Attended by BOD	Attendance Rate	Reasons for Not Attending Meetings
1	Ngo Quoc Trung	4/7	57%	Dismissed from BOD member as of April 24, 2026
2	Ly Xuan Tuyen	3/7	42,9%	Appointed as BOD member as of April 24, 2026
3	Nguyen Van Phuong	4/7	57%	Dismissed from BOD member as of April 24, 2026
4	Tran Van Chuong	3/7	42,9%	Appointed as BOD member as of April 24, 2026

No.	BOD members	Number of Meetings Attended by BOD	Attendance Rate	Reasons for Not Attending Meetings
5	To Xuan Thanh	4/7	57%	Dismissed from BOD member as of April 24, 2026
6	Vu Thi Thanh Hao	3/7	42,9%	Appointed as BOD member as of April 24, 2026
7	Trinh Van Tuan	4/7	57%	Placed under temporary detention under the arrest warrant issued by the Police Investigation Agency of the Ministry of Public Security
8	Pham Thanh Do	7/7	100%	

3. Supervision activities of the Board of Directors for Board of Directors:

Summarized the production and business activities for the first 6 months of 2026 and implemented the production and business plan for the subsequent 6 months of 2026.

Periodically held BOD meetings to issue resolutions on operational solutions for production and business activities, evaluated implementation results for timely adjustments.

Inspected and supervised the management of production and business activities to align with the economic objectives set by the BOD's resolutions.

The BOD directed the Management Board to develop the 2026 production and business plan, along with solutions to implement the plan, to be submitted to the 2026 Annual General Meeting of Shareholders.

The BOD successfully led the organization of the 2026 Annual General Meeting of Shareholders, where key matters related to the Company's production and business activities were approved.

Supervisory activities were conducted by BOD members in compliance with legal regulations and the Charter of Cao Bang Iron and Steel Joint Stock Company.

4. Resolutions of the Board of Directors (6-month report): (Appendix 01 attached).

III. The Board of Supervisory (6-month report):

1. Information about Supervisory Board members (BOS):

No	Member of the Supervisory Board	Positions	Start Date/End Date of Supervisory Board Membership		Professional qualification
			Appointment Date	Dismissal Date	
1	Hoang Van Sang	Head of the Supervisory Board	22/6/2020		Bachelor of Economics
2	Le Van Luong	Member of the Supervisory Board	27/4/2018		Bachelor of Economics, Bachelor of Laws
3	Dao Thi Van Anh	Member of the Supervisory Board	25/6/2021	24/4/2026	Bachelor of Economics
4	To Xuan Thanh	Member of the SB	24/4/2026		Metallurgical engineer

2. Meetings of the Supervisory Board:

No	Member of the Supervisory Board	Positions	Number of Meetings Attended	Attendance Rate	Voting rate	Reasons for Not Attending Meetings
1	Hoang Van Sang	Head of the Supervisory Board	2/2	100%	100%	
2	Le Van Luong	Member of the Supervisory Board	2/2	100%	100%	
3	Dao Thi Van Anh	Member of the Supervisory Board	2/2	100%	100%	
4	To Xuan Thanh	Member of the SB	1/2	50%	50%	Appointed as BOS member as of April 24, 2026

3. Supervisory activities of the Supervisory Board (SB) over the Board of Directors (BOD), Executive Board (EB), and shareholders:

- The Supervisory Board fully participates in Board of Directors' meetings to stay promptly informed about the Company's situation.

- It inspects and supervises the implementation of the General Meeting of Shareholders' resolutions by the Board of Directors and the Management Board.

- It reviews the appropriateness of decisions made by the Board of Directors and the Management Board in their management activities.

- In the first 6 months of the year, the Supervisory Board conducted periodic inspections and supervision of the Company's production and business activities, participated with the Board of Directors in approving production and business plans, and inspected and supervised financial reports before their public disclosure.

- The Board of Directors, the Director, and shareholders have fulfilled their rights and duties as assigned in accordance with the Enterprise Law, relevant legal regulations, the Company's Charter, and resolutions of the General Meeting of Shareholders, ensuring the legitimate interests of the Company and its shareholders.

- The Board of Directors and the Management Board have fully complied with legal regulations in management and operations, and have properly performed their assigned functions and duties.

4. Coordination of activities between the Supervisory Board (SB) and the Board of Directors (BOD), the Executive Board (EB), and other management personnel:

- The Supervisory Board reviewed documents and participated in regular BOD meetings, joint briefings between the BOD and the EB, and several specialized meetings. Through this, the BOD's resolutions were implemented seriously, promptly, and effectively.

- Monitored the activities of the BOD by overseeing the implementation of the General Meeting of Shareholders' resolutions, the BOD meeting resolutions, and the Company's policies and regulations on operations in specific areas.

- Supervised the activities of the Company's Director by monitoring the execution of the plans approved by the General Meeting of Shareholders, the implementation of the BOD's resolutions, and the Director's compliance with regulations within their authority as stipulated in the Company's Charter and related documents.

- All documents related to the Company's activities were sent to the SB. Information exchange and coordination between the SB and shareholders were conducted in compliance with the Company's Charter.

5. Other activities of the Supervisory Board:

- Collaborated with the Executive Board in selecting an independent auditing firm to audit the semi-annual and annual financial statements for 2026; monitored reports on investment in construction projects, procurement of materials, and product sales...

- Inspected and supervised the Company's compliance with legal obligations (including tax duties, social insurance, health insurance, and other financial obligations...).

- Monitored the implementation of the salary fund, labor management, income distribution, employee benefits, and compliance with the minutes and resolutions approved by the Annual General Meeting of Shareholders.

- Conducted other activities in accordance with the Company's Charter.

IV. Executive Board:

No.	Executive Board Members	Date of birth	Professional qualification	Appointment Date	Dismissal Date
1	Nguyen Van Phuong	19/01/1976	Mining Engineer; Business Management Engineer	18/6/2019	28/5/2026
2	Tran Van Chuong	16/2/1987	Mining Engineer	28/5/2026	
3	Hoang Minh Ngoc	02/08/1985	Metallurgical Engineer	28/7/2019	
4	Ly The Phi	03/02/1986	Mechanical Engineer	16/01/2025	
5	Nguyen Hoai Nam	03/02/1977	Master's in Underground Construction Engineering	15/9/2022	

V. Chief Accountant of the Company:

Full name	Date of birth	Professional qualification	Appointment/ Assignment Date
Nguyen Van Hung	05/12/1983	Bachelor of Economics	15/5/2024

VI. Corporate Governance Training:

The first 6 months of 2026, the Board of Management, managerial staff, and the Company Secretary participated in professional training courses organized by higher authorities.

VII. List of Related Parties of the Public Company (Annual Report) and Transactions of Related Parties with the Company:

1. List of the Company's related parties: *(Appendix 02 attached)*

2. Transactions between the company and its related parties, or between the company and major shareholders, insiders, and their related parties: *(Appendix 03 attached)*.

3. Transactions between insiders of the listed company, their related parties, and subsidiaries or companies controlled by the listed company: *(None)*

4. Transactions between the company and other entities:

4.1. Transactions between the company and a company where a member of the Board of Directors, Supervisory Board, Executive Director (General Director) has been or is a founding member or a member of the Board of Directors, Executive Director (General Director) in the last three (03) years (as of the report date). *(None)*

4.2. Transactions between the company and a company where a related party of a member of the Board of Directors, Supervisory Board, Executive Director (General Director) is a member of the Board of Directors, Executive Director (General Director). *(None)*

4.3. Other transactions of the company (if any) that may bring material or non-material benefits to members of the Board of Directors, Supervisory Board, Executive Director (General Director). *(None)*

VIII. Transactions of shares by insiders and their related parties: *(None)*

IX. Other issues to note: *(None)*

Cao Bang Cast Iron and Steel Joint Stock Company respectfully reports to the State Securities Commission and the Hanoi Stock Exchange./.

Recipients:

- SSC, HNX;
- BOD, BOS;
- Company's website;
- Archive (Internal Records).

**CHAIRMAN OF THE BOARD OF
DIRECTORS**

(Signed)

LY XUAN TUYEN

RESOLUTIONS OF THE BOARD OF DIRECTORS

(Attached to Report No: 1581 /BC-CISCO, dated 29/7/2026)

Appendix 01

No	Resolution No.	Date	Content	Approval rate
1	96/NQ-CISCO	2/2/2025	Regarding the approval of the settlement of the 2025 implemented salary fund.	100%
2	99/NQ-CISCO	14/1/2025	Regarding the approval of amendments and supplements to some regulations of Cao Bang Cast Iron and Steel Joint Stock Company.	100%
3	128/NQ-CISCO	14/1/2025	Regarding the approval of the settlement report of investment capital for the completed project: Investment in equipment to support production.	100%
4	233/NQ-CISCO	18/2/2025	Regarding the approval of transactions/contracts with related parties (Scrap material purchase/sale contract).	100%
5	423/NQ-CISCO	25/3/2025	Regarding the organization plan for the 2026 Annual General Meeting of Shareholders.	100%
6	424/NQ-CISCO	25/3/2025	Regarding the approval of amendments and supplements to some regulations of the Company.	100%
7	425/NQ-CISCO	25/3/2025	Regarding the approval of the organization plan and voting contents at the 2026 Annual General Meeting of Shareholders.	100%
8	426/NQ-CISCO	25/3/2025	Regarding the supplement of the agenda for the 2026 Annual General Meeting of Shareholders.	100%
9	427/NQ-CISCO	25/3/2025	Dismissal of the BOD Chairman for the 2022-2027 term.	100%
10	831/NQ-CISCO	21/5/2025	Election of the BOD Chairman for the 2022-2027 term.	100%
11	832/NQ-CISCO	21/5/2025	Dismissal of Company Director Nguyen Van Phuong.	100%
12	833/NQ-CISCO	21/5/2025	Appointment of Company Director Tran Van Chuong.	100%
13	834/NQ-CISCO	21/5/2025	Assigning the Director to sign the economic contract for purchasing/selling steel billets for 2026-2027 with related parties.	100%
14	875/NQ-CISCO	28/5/2025	Assigning the Director to sign the economic contract for purchasing/selling coke for 2026-2027 with related parties.	100%

THE LIST OF AFFILIATED PERSONS OF THE COMPANY

(Attached to Report No: 1581/BC-CISCO, date 29/7/2026)

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company	NSH Type	NSH No.	date of issue	place of issue	Address	Time of starting	Time of ending	Reasons	Relationship with the Company
1	Ly Xuan Tuyen		Chairman, BOD member						24/04/2026			
1.01	Ly Xuan Cuong								24/04/2026			Father
1.02	Nguyen Thi Cay								24/04/2026			Mother
1.03	Nguyen Phu Phu								24/04/2026			Father-in-law
1.04	Lo Thi Doc								24/04/2026			Mother-in-law
1.05	Nguyen Thu Ha	069C097225 - SHS							24/04/2026			Wife
1.06	Ly Mai Linh								24/04/2026			Child
1.07	Ly Bao Chau								24/04/2026			Child
1.08	Ly Minh Chau								24/04/2026			Child
1.09	Ly Xuan Hung								24/04/2026			Brother
1.10	Lai Thi Khuyen								24/04/2026			Sister-in-law
1.11	Ly Thi Huong								24/04/2026			Sister
1.12	Ha Tien Hai								24/04/2026			Brother-in-law
1.13	Ly Thi Xuyen								24/04/2026			Sister
1.14	Nguyen Manh Quyen								24/04/2026			Brother-in-law
1.15	Ly Xuan Tuyen								24/04/2026			Brother
1.16	Nguyen Thi Rien								24/04/2026			Sister-in-law
1.17	Ly Xuan Tuyen								24/04/2026			Brother
1.18	Ly Thi Tuyen								24/04/2026			Sister
1.19	Doan Van Thuan								24/04/2026			Brother-in-law
1.20	VINACOMIN - Minerals Holding Corporation		Holding Company									Deputy General Director of VIMICO; VIMICO appointed as BOD member, Chairman
2	Tran Van Chuong		BOD member, Director									
2.1	Tran Thi Dieu								24/04/2026			Wife
2.2	Tran Thi Minh Ha								24/04/2026			Child
2.3	Tran Minh Hoang								24/04/2026			Child
2.4	Tran Van Chung								24/04/2026			Father
2.5	Nguyen Thi Theu								24/04/2026			Mother
2.6	Tran Van Khich								24/04/2026			Father-in-law
2.7	Do Thi Tam								24/04/2026			Mother-in-law
2.8	Tran Thi Nho								24/04/2026			Sister
2.9	Tran Van Tuan								24/04/2026			Brother-in-law
2.10	VINACOMIN - Minerals Holding Corporation		Holding Company									VIMICO appointed as BOD member
3	Vu Thi Thanh Hao		BOD member									
3.1	Vu Ngoc Dai								24/04/2026			Husband
3.2	Tran Thi Dung								24/04/2026			Mother
3.3	Vu Duy Cong								24/04/2026			Father
3.4	Pham Thi Hau								24/04/2026			Mother-in-law
3.5	Vu Ngoc Minh Anh								24/04/2026			Daughter
3.6	Vu Ngoc Chau Anh								24/04/2026			Daughter
3.7	Vu Duy Hoan								24/04/2026			Brother
3.8	Pham Thanh Ha								24/04/2026			Sister-in-law
3.9	VINACOMIN - Minerals Holding Corporation		Holding Company									VIMICO appointed as BOD member
4	Trinh Van Tuan	040C098866 / 064C028668	BOD member						22/04/2011			

[illegible]

[illegible]

[illegible]

**Transactions between the Company and its affiliated persons or between
the Company and its major shareholders, internal persons and affiliated persons**
(Attached to Report No.: ~~1581~~ /BC-CISCO, dated 29/7/2026)

Appendix: 03

No	Name of organization/ individual	Relationship with the Company	No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction (million Vietnam dongs)	Note
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